



430 Re PCT/PIO # 3 SEP 1999
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Patent Docket P1085R3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Vanessa Hsei et al. Serial No.: 09/355,014 Filed: July 21, 1999 For: ANTIBODY FRAGMENT- POLYMER CONJUGATES AND HUMANIZED ANTI-IL-8 MONOCLONAL ANTIBODIES	Group Art Unit: not yet assigned Examiner: not yet assigned <div style="border: 1px solid black; padding: 5px;"><p style="text-align: center;">CERTIFICATE OF MAILING</p><p>I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on</p><p style="text-align: center;">September 8, 1999</p><p style="text-align: center;"><i>Ann Savelli</i></p><p style="text-align: center;">Ann Savelli</p></div>
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**Response to Notification of Missing Requirements under 35 U.S.C. 371 in the U.S.
Designated/Elected Office (DO/EO/US)**

Assistant Commissioner of Patents
Washington, D.C. 20231

Sir:

This is responsive to the Notification of Missing Requirements under 35 U.S.C. 371 in the U.S. Designated/Elected Office (DO/EO/US) dated August 19, 1999. Transmitted herewith are the following documents:

1. Declarations (6) duly executed.
2. Copy of Notification of Missing Requirements under 35 U.S.C. 371 in the U.S. Designated/Elected Office (DO/EO/US).

10/21/1999 WCLAYBRD 00000066 070630 09355014
Sale Ref: 00000066 DAW: 070630 09355014
01 FC:154 130.00 CH
The Commissioner is hereby authorized to deduct the appropriate surcharge fee of \$130 associated with this communication or credit any overpayment to Deposit Account No. 07-0630. A duplicate of this sheet is enclosed.

Respectfully submitted,
GENENTECH, INC.

Date: September 8, 1999

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AUG 23 1999



UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

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Washington, D.C. 20231

09/35501 GENENTECH, INC.
LEGAL DEPT.
U.S. APPLICATION NO. HSEI

FIRST NAMED APPLICANT

ATP-POCKET NO.

P1085R3

5611

GENENTECH INC
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INTERNATIONAL APPLICATION NO.

PCT/US98/03337

I.A. FILING DATE

02/20/98

PRIORITY DATE

02/21/97

08/19/99

DATE MAILED:

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as
- ☐ a Designated Office (37 CFR 1.494),
 - ☐ an Elected Office (37 CFR 1.495):

☒ U.S. Basic National Fee.☒ Copy of the international application in:☐ a non-English language.☒ English.☒ Translation of the international application into English.☐ Oath or Declaration of inventors(s) for DO/EO/US.☐ Copy of Article 19 amendments.☐ Translation of Article 19 amendments into English.☐ The International Preliminary Examination Report in English and its Annexes, if any.☒ Translation of Annexes to the International Preliminary Examination Report into English.☐ Preliminary amendment(s) filed _____ and _____.☐ Information Disclosure Statement(s) filed _____ and _____.☐ Assignment document.☐ Power of Attorney and/or Change of Address.☐ Substitute specification filed _____.☐ Statement Claiming Small Entity Status.☐ Priority Document.☒ Copy of the International Search Report ☒ and copies of the references cited therein.☐ Other:

2. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

☐ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.

☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.

☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).

☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.

☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

3. Additional claim fees of \$ _____ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.

ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☐ 21 OR ☐ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes **MUST** be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.

5. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

A copy of this notice *MUST* be returned with this response.

Enclosed: ☐ PCT/DO/EO/917 ☐ Notice of Defective Translation

☐ PTO-875

FORM PCT/DO/EO/905 (December 1997)

Shelby Vigil
National Stage Processing

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SKC